



## **DOCTORAL PROGRAM REGULATIONS**

As per the UGC Minimum Standards and Procedure for award of Ph.D. Degree Regulations, 2016

### **1. ELIGIBILITY FOR APPLYING Ph.D. DEGREE:**

The candidate must possess Master's Degree in Law /LL.M (from any recognized University or its equivalent from an accredited institution /Foreign University) with a minimum of 55% of marks or B+ grade.

\*Relaxation of 5% marks will be given to SC/ST/BC/ Specially Abled and other categories of candidates as per the UGC Regulations, 2016.

### **2. CATEGORIES OF Ph.D.: Full-Time and Part-Time:**

2.1. Full-time candidates: the above rule 1. would be applicable.

2.2. Part-time candidates (Internal/External): In Part-time, the candidate can be either an Internal or External.

#### **2. 2.1 Internal:**

Faculty working in the DSNLU will fall under this category. They can be both permanent as well as Ad hoc Faculty.

#### **2. 2.2 External:**

(i) Persons working as academicians will come under this category.

(ii) Candidates should have 3 years of full-time teaching experience in Law at the time of applying for the Ph.D. program with other minimum qualifications as per the regulation.

### **3. PROCEDURE FOR ADMISSION:**

3.1 All the candidates should appear for Entrance Test conducted by the University.

However, the following candidates are exempted to appear for the Entrance Test.

3.1.1 Candidates who have qualified in JRF, if they apply within two years of their date of eligibility.

3.1.2 Candidates who have qualified UGC- NET/SLET and having a minimum of two publications in any referred Journal of National/International standards i.e , ISBN/eISBN or ISSN/ eISSN.

3.2 **MODE OF ADMISSION TEST:** (Written test and Interview.)



- 3.2.1 The Entrance Test will be conducted by the University as per the specifications in Notification of Admission into PhD. The Admission Test will have objective as well as descriptive questions. The examination shall test the research and writing aptitude of the candidate through objective/short/essay/comprehension skills questions.
- 3.2.2 The Test shall have 50% of Research Methodology and 50% in Jurisprudence and Constitutional Law.
- 3.2.3 The Admission test will be conducted for 100 Marks and the candidate should secure minimum 50% of marks to be eligible for interview. After qualifying the Test, the eligible candidate will have to attend the interview.
- 3.2.4 The candidate eligible to attend interview shall submit a Written Synopsis of Research on the day notified by the University.
- 3.2.5 All the candidates who have qualified the entrance test as well as the interview may be provisionally admitted for Ph.D. and allotted registration number.

#### **4. SUBMISSION OF PRELIMINARY RESEARCH PROPOSAL (PRP)**

4.1. The candidates who have been provisionally admitted required to submit their preliminary research proposal within a month or the day specified by the University after receiving their 'Admission Letter' from University.

##### **4.1.1 PRELIMINARY RESEARCH PROPOSAL GUIDELINES:**

It should contain an outline of the Research Topic and demonstrate command of the state of the ability in the relevant area. The Research shall be submitted with (the application for) a minimum of 2500 words and a maximum of 3000 words along with the application form. The Research Proposal shall include :-

- i. Introduction:
- ii. Scope and Objectives:
- iii. Hypothesis:
- iv. Review of Literature:
- v. Conclusion:



**4.1.2 WRITING GUIDELINES:**

- i. To be in English
- ii. Times New Roman
- iii. Font Size 12
- iv. Spacing 1.5
- v. Citation Method- Bluebook/Indian Law Institute
- vi. Left Margin 1.5; Right Margin 1; Top Margin 1.5 and Bottom 1; with page No.
- vii. Details of the candidates including address for correspondence e-mail ID & phone no (mobile number) shall be provided.

4.2. Subsequent to the submission of the Preliminary Research Proposal, Research Scholars have to submit a seminar paper for presentation before the DRC on the date Notified by the University;

4.3. Consequent to the presentation, the DRC shall accept either the Preliminary Research Proposal or review or resubmission.

4.4. The candidate has to make the necessary changes in accordance with the suggestions of the DRC within two months and submit the same for reconsideration.

4.5. If the Preliminary Research Proposal is not submitted as per the suggestions by the DRC, the Admission stands cancelled.

4.6. Research registration number will be allotted to provisionally admitted candidates on the recommendations of DRC mentioning the RRN, research topic (.....) and name of the Research Supervisor/Guide on the date of Registration.

**5. COURSE WORK AND DURATION:**

5.1. It is mandatory that all Research Scholars (both Full-time & Part-time) after their admission must attend compulsory 'Course Work' as per UGC Regulations-University



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Grants Commission (Minimum Standards and Procedure for Award of M.PHIL./PH.D Degrees) Regulations, 2016)

5.2. Course Work shall have 16 Credits.

5.3. ATTENDANCE: Full time Research Scholar shall regularly sign the attendance register maintained by the University.

5.4. ATTENDANCE FOR COURSE WORK: Both Full-Time and Part-Time Research Scholars will have to attend the course work compulsory.

5.5. **DURATION OF THE PROGRAM:** (Commences from the day of the Admission)

CATEGORY	MINIMUM	MAXIMUM*
Full-time	3 Years	4 Years
Part-time	4 Years	6 Years

### \*Special Extension:

In case, if the Research is not completed within the maximum period of the program for any valid reason, the Research Scholar *may* be given an extension of time for a maximum of *one year* on the recommendations of the DRC with a late fee of Rs.10,000.

### 6. CANCELLATION OF REGISTRATION / LAPSE OF REGISTRATION:

If a Research Scholar fails to fulfill the UGC/ DRC Regulations from time to time in submitting, his/her thesis even after the maximum period mentioned above including Special Extension, his/her Admission stands cancelled.

### 7. DOCTORAL RESEARCH COMMITTEE:

7.1. The **Vice Chancellor** shall constitute DRC. DRC shall comprise of five members excluding the Chairman of which three members shall be the senior faculty from the University and two external member as nominated by the Vice-Chancellor.

7.2. The Vice-Chancellor of the University shall be the Ex-Officio Chairman of the DRC

7.3. The DRC will be constituted for a minimum period of 2 years as per the Regulations.



7.4. The DRC will review the theme of the research work submitted by the Research Scholar and may suggest to make additions/ alterations in the nature and scope of the research proposal.

## **8. RESEARCH SUPERVISOR:**

8.1. Professor/ Associate Professor /Assistant Professor of DSNLU will be recognized and appointed as a Research Supervisor/Guide.

8.2. The number of Ph.D. candidates registered at DSNLU shall be allotted to each faculty is as follows:

8.2.1. Research Supervisors in the cadre of Professor, are permitted to have maximum number of (10), an Associate Professor as Research Supervisor can guide up to maximum of (6) and an Assistant Professor as Research Supervisor can guide up to a maximum of (4) full time or part time Ph.D. Scholars at any given point of time;

8.2.2. No supervisor may be allotted more than two research scholars in an Academic Year. However, in successive academic years, the full quota of the corresponding to a faculty shall be adjusted.

8.2.3 Vice Chancellor shall allot the Research Supervisor for the Research Scholar.

8.3. The allotment/allocation of supervisor shall be the prerogative of the University.

8.4. A Scholar shall pursue their research as per the Rules of the University and due approval/s of his or her supervisor/ supervisors if any.

8.5. However, under any special circumstances External Supervisors/Guides cannot be appointed as the main supervisor for any scholar.

8.6. If a Research Supervisor retires or takes up an assignment outside the University on deputation/lien, the candidate will be permitted to continue his/her research under the same Research Supervisor OR he/she may be permitted to change his/her Research Supervisor if he/she desires so, with the permission of the Vice Chancellor. But the date of registration, the area of research and the title of the study shall remain unaltered.



## **9. PROGRESS REPORT OF THE RESEARCH WORK:**

The research scholar shall submit a Research Progress Report (RPR) every six months with the endorsement of research supervisor. The same will be reviewed by the DRC. The review report will be intimated to research scholar and research supervisors.

9.1. In case the progress of the Research Scholar is unsatisfactory, the DRC shall record the reasons for the same and suggest corrective measures. (If the Research Scholar fails to implement these corrective measures the DRC may recommend specific reasons for cancellation of the Registration of the candidate);

## **10 GUIDELINES FOR SEMINAR PAPER (After the Course Work Is Completed)**

10.1 Research Scholar shall make three presentations with a gap of at least 3 months between each presentation for the purpose of getting approval of Final thesis submission;

10.2 The First presentation requires the Research Scholar to converse about the statement of problem, scope and limitation to the research, Review of literature, Research Methodology and Hypothesis;

10.3 The Second presentation would be at the mid-duration of the program that would explain and analyze the progress made by the Researcher in furtherance of the Research work;

10.4 The third and the final presentation would give the complete description of the research findings, conclusions and suggestions;

10.5 All the presentations should be made in the presence of minimum of two subject experts as panel members to evaluate the Research work and suggest changes if any.

## **11. EVALUATION PROCESS AND AWARD OF Ph.D. DEGREE:**

Proceeding to the submission of the thesis, the Research Scholars are required to observe the following guide lines

11.1 Ph.D. scholars shall publish minimum of two research papers in a referred journals before pre-Ph.D. presentation; (For further reference see 3.1.2.)

11.2 The thesis shall be in English and it shall be the result of Scholar's own work that attains the standard of literary presentation;



- 11.3 Scholars have to submit a draft thesis to the Research Supervisor and make a pre-Ph.D. presentation open to all the faculty members and research scholars of DSNLU for suggestions which may be suitably incorporated into the draft thesis on the advice of the Supervisor;
- 11.4 If there are any suggestions, Research Scholar has to make a second presentation after the incorporating the suggestions;
- 11.5 The scholar should obtain a certificate from the Research Supervisor and the thesis should contain the same;
- 11.6 The scholar shall be required to submit five copies of his/her thesis along with a softcopy.
- 11.7 Subsequent to the submission of the thesis by the scholar, the thesis will be sent to three external examiners where as one of the external examiner shall be from outside India who is not Indian origin. Out of three external evaluations, the majority will be considered for the award of the Degree. The foreign adjudicator report shall be positive for award of the Ph.D.
- 11.8 The University shall adopt appropriate method for evaluation Ph.D. within 6 months from the date of the submission of the thesis.
- 11.9 The examiners shall give their opinion with a report in writing to the University giving their assessment of the thesis and their recommendations as to whether the degree shall or shall not be awarded to the scholar;
- 11.10 If the examiner suggests any changes/alterations in particular area or any additional work to be undertaken by the Research Scholar, the researcher shall resubmit the thesis; Subsequent to receiving the satisfactory assessment report and unanimous recommendation of all the evaluators for the award of the Degree, the open Viva voce examination will be conducted on a scheduled date;
- 11.11 After successful completion of Viva voce examination, the award of the degree may be recommended by the Board of Evaluation i.e.(The Research Supervisor/Guide as Chairman and one member nominated by the Vice Chancellor and one of the evaluator to the University;



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11.12 Once the Board of Evaluation recommends the award of the Ph.D. Degree to the scholar, a notification will be released and the scholar may be given a Provisional Certificate specifying that the Doctoral Degree has been awarded in accordance with the University Guidelines and provisions of the UGC (Minimum Standards and Procedure for Awards of Ph.D. Degree) Regulations, 2016.

11.13 Research program is subject to frame from time to time

11.14 The award of the degree will be made at the annual convocation or in absentia.

12. **FEE STRUCTURE:** The fee Structure, including the adjudication fee, will be decided by the University from time to time. In case of re-registration, the fee structure prevailing as on that date shall be applicable.

## 12.1. FEE STRUCTURE (2021-2022)

Fee Particulars	Full-Time	Part-Time(Internal/External)
	Rs	Rs
Application	Rs.2,000	Rs.2,000
<b>Fee for Pursuing Ph.D.</b>		
Registration	50,000/-	50,000/-
Facilities Fee	15,000/-	10,000/-
Examination	20,000/-	20,000/-
Continuation up to maximum no. of years (Every Year)	10,000/-	10,000/-
Library Fee	20,000/-	10,000/-
Library Deposit (Refundable)	5,000/-	5,000/-
Hostel / Accommodation (Every Year) (Note Order dt 25.03.2022).	18,000/-	-----
Hostel Deposit (Refundable)	10,000/-	NA
Canteen Security Deposit (Refundable)	10,000/-	NA
<b>Total</b>	<b>1, 58, 000/-</b>	<b>1, 05, 000/-</b>
Re-submission	Rs.20,000/-	Rs.10,000/-
Re-Registration	Rs.30,000/-	Rs.15,000/-

**Note:** In addition to the above Fee, Mess charges Rs. 40,000/- Per Annum is to be paid. Final amount will be settled as per actuals at the time of leaving the University.

\*Fee Paid is not refundable.





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12.2. Full Time scholar may opt for Halls of Residence. Part Time scholar not entitle for Halls of Residence.

13. Notwithstanding anything contained in the above Rules and Regulations' governing the Doctoral Program at DSNLU, the DRC is vested with the powers to revise them and same to be placed before the Academic Council accordingly.

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